

## STATE OF VERMONT GRANT AGREEMENT

## Part 1-Grant Award Detail

## SECTION I - GENERAL GRANT INFORMATION

<sup>1</sup> Grant #: 06140-2023-ARPA-VWWW-01		<sup>2</sup> Original <input checked="" type="checkbox"/> Amendment # _____	
<sup>3</sup> Grant Title: Grafton Decentralized Wastewater System			
<sup>4</sup> Amount Previously Awarded: \$0.00		<sup>5</sup> Amount Awarded This Action: \$3,968,331.00	
<sup>6</sup> Total Award Amount: \$3,968,331.00			
<sup>7</sup> Award Start Date: Upon signing		<sup>8</sup> Award End Date: 09/30/2026	
<sup>9</sup> Subrecipient Award: YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>			
<sup>10</sup> Supplier #: 0000040633		<sup>11</sup> Grantee Name: Grafton Town Treasurer	
<sup>12</sup> Grantee Address: PO Box 180			
<sup>13</sup> City: Grafton		<sup>14</sup> State: VT	
<sup>15</sup> Zip Code: 05146			
<sup>16</sup> State Granting Agency: Department of Environmental Conservation			<sup>17</sup> Business Unit: 06140
<sup>18</sup> Performance Measures: YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>		<sup>19</sup> Match/In-Kind: Description:	
<sup>20</sup> If this action is an amendment, the following is amended: Amount: <input type="checkbox"/> Funding Allocation: <input type="checkbox"/> Performance Period: <input type="checkbox"/> Scope of Work: <input type="checkbox"/> Other: <input type="checkbox"/>			

## SECTION II - SUBRECIPIENT AWARD INFORMATION

<sup>21</sup> Grantee Identifier [UEI] #: K4J3R3XDF1C9		<sup>22</sup> Indirect Rate: 10.00 %		<sup>23</sup> FFATA: YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	
<sup>24</sup> Grantee Fiscal Year End Month (MM format): 6		(Approved rate or de minimis 10%)		<sup>25</sup> R&D: <input type="checkbox"/>	
<sup>26</sup> Entity Identifier [UEI] Name (if different than VISION Vendor Name in Box 11): Town of Grafton					

## SECTION III - FUNDING ALLOCATION

## STATE FUNDS

Fund Type	<sup>27</sup> Awarded Previously	<sup>28</sup> Award This Action	<sup>29</sup> Cumulative Award	<sup>30</sup> Special & Other Fund Descriptions
General Fund			\$0.00	
Special Fund			\$0.00	
Global Commitment (non-subrecipient funds)			\$0.00	
Other State Funds			\$0.00	

## FEDERAL FUNDS

(includes subrecipient Global Commitment funds)

## Required Federal Award Information

<sup>31</sup> CFDA#	<sup>32</sup> Program Title	<sup>33</sup> Awarded Previously	<sup>34</sup> Award This Action	<sup>35</sup> Cumulative Award	<sup>36</sup> FAIN	<sup>37</sup> Federal Award Date	<sup>38</sup> Total Federal Award
21.027	Coronavirus State and Local Fiscal Recovery Fund		\$3,968,331.00	\$3,968,331.00	SLFRP4407	03/03/2021	\$1,049,287,303.00
<sup>39</sup> Federal Awarding Agency: US Department of the Treasury		<sup>40</sup> Federal Award Project Descr:					
		\$0.00					
<sup>39</sup> Federal Awarding Agency:		<sup>40</sup> Federal Award Project Descr:					
		\$0.00					
<sup>39</sup> Federal Awarding Agency:		<sup>40</sup> Federal Award Project Descr:					
		\$0.00					
<sup>39</sup> Federal Awarding Agency:		<sup>40</sup> Federal Award Project Descr:					
		\$0.00					
<sup>39</sup> Federal Awarding Agency:		<sup>40</sup> Federal Award Project Descr:					
		\$0.00					
<b>Total Awarded - All Funds</b>		\$0.00	\$3,968,331.00	\$3,968,331.00			

## SECTION IV - CONTACT INFORMATION

STATE GRANTING AGENCY	GRANTEE
<b>NAME:</b> Renee Miller	<b>NAME:</b> Morgan Wilbur
<b>TITLE:</b> Program technician	<b>TITLE:</b> Administrative Officer/Flood Zoning Officer
<b>PHONE:</b> (802) 279-5281	<b>PHONE:</b> (802) 843-2552
<b>EMAIL:</b> renee.miller@vermont.gov	<b>EMAIL:</b> townadmin@graffontvt.org



Vermont Department of Environmental Conservation

Agency of Natural Resources

## FFA - STANDARD ARPA GRANT AGREEMENT

1. **Parties:** This is a Grant Agreement between the State of Vermont, Department of Environmental Conservation (hereinafter called "State"), and Town of Grafton with principal place of business at PO Box 180, Grafton, VT 05146, (hereinafter called "Subrecipient"). It is the Subrecipient's responsibility to contact the Vermont Department of Taxes to determine if, by law, the Subrecipient is required to have a Vermont Department of Taxes Business Account Number.
2. **Subject Matter:** The subject matter of this Grant Agreement is the Grafton Decentralized Wastewater System, pursuant to the federal American Rescue Plan Act of 2021 and the U.S. Department of the Treasury Coronavirus Local Fiscal Recovery Fund Award Terms and Conditions, attached hereto. Detailed scope to be provided by the Subrecipient are described in Attachment A.
3. **Maximum Amount:** In consideration of the scope of work to be performed, the State agrees to pay Subrecipient, in accordance with the payment provisions specified in Attachment B, a sum not to exceed \$3,968,331.00. Attachment B, Payment Provision provides details on how the Subrecipient will be reimbursed. This grant award cannot be used as match for the purpose of obtaining additional federal funds by the subrecipient without written approval from the State.
4. **Procurement:** The Subrecipient certifies that for any equipment, supplies, and/or services outside of their organization, that they have and will follow their procurement policy.
5. **Ownership and Disposition Assets:** At the end of the grant term, Subrecipient will retain any pipes, valves, pumps, tanks, or other equipment installed under the grant agreement and described in the scope of work for the same use and intended purpose as outlined in this agreement.

6. **Source of Funds:**    \_\_\_ General              X   Federal            \_\_\_ Other
- \$                                    \$3,968,331.00                                    \$                                    Fund

- a. For grants funded with federal dollars only.

CFDA Title	Coronavirus State and Local Fiscal Recovery Fund
CFDA Number	21.027
Award Name	American Rescue Plan Act (ARPA) Vermont State Recovery Fund
Award Number	SLFRP4407
Award Year	2021
Federal Granting Agency	US Department of the Treasury
Research and Development Grant?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

7. **Grant Term:** The period of Subrecipient's performance shall begin upon date of execution, signified by the date of signature by the State and end on September 30, 2026.
8. **Amendment:** No changes, modifications, or amendments in the terms and conditions of this Grant Agreement shall be effective unless reduced to writing, numbered, and signed by the duly authorized representative of the State and Subrecipient. No amendment will be considered without a detailed justification to support the amendment request. Failure to provide an adequate justification may result in the denial of the request. Any request for an amendment to this agreement must be made in writing at least 30 days prior to the end date of this agreement or the request may be denied.

- 9. **Cancellation:** This Grant Agreement may be cancelled by either party by giving written notice at least 30 days in advance.
- 10. **Fiscal Year:** The Subrecipient’s fiscal year starts July 1 and ends June 30.
- 11. **Attachments:** This Grant consists of the following attachments that are incorporated herein:
  - Attachment A - Scope of Work to be Performed
  - Attachment B – Payment Provisions
  - Attachment C - Customary State Grant Provisions
  - Attachment D – State Fiscal Recovery Fund (SFR) Program Assurances
  - Attachment E – SFR Quarterly Project Report Template
  - Attachment F – Other Provisions
  - Attachment G – Terms and Conditions for Federal Subrecipients

Legal Name and Unique Entity Identifier (UEI) on File with the [www.sam.gov](http://www.sam.gov) (1):

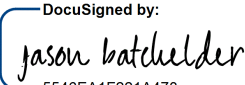

Town of Grafton  
Print Legal Name

K4J3R3XDF1C9  
UEI (2)

Did this business or organization (the legal entity to which the UEI provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?\*

Yes       No

If yes, please list the top five highest paid senior executive salaries that are not available to the public:

<b>WE, THE UNDERSIGNED PARTIES, AGREE TO BE BOUND BY THIS GRANT.</b>	
<p><b>STATE OF VERMONT</b></p> <p>By: <small>DocuSigned by:</small>    <small>5546EA1F221A478...</small></p> <p><b>Commissioner</b></p> <p><b>Dept of Environmental Conservation</b></p> <p><b>Date:</b> <u>11/21/2023</u></p>	<p><b>SUBRECIPIENT</b></p> <p>By: <small>DocuSigned by:</small>    <small>04FA427C92E8408...</small></p> <p><b>Name:</b> (Print) <u>Morgan wilbur</u></p> <p><b>Title:</b> <u>Town Administrator</u></p> <p><b>Date:</b> <u>11/21/2023</u></p>

DS  


## Attachment A Scope of Work to be Performed

### Part or All of the Scope May be Sub-Granted

1. **Project Overview:** The proposed project is a Decentralized Wastewater System for the Subrecipient's Village Center. This system will be responsible for the collection, treatment, and disposal of the community's wastewater. The proposed project area includes parcels within the State-designated Village Center and its adjacent buffer, parcel and/or town owned properties identified within the Preliminary Engineering Report (PER). The service area will comprise of a minimum of 20 service connections and will have a minimum design capacity of 6,000 gallons per day indirectly discharged to groundwater within the South Branch Saxtons River watershed.

**Table 1: SFR Program Information**

SFR Program	Infrastructure
SFR Expenditure Category	EC 5.3 Clean Water: Decentralized Wastewater
SFR Project Name	VWWW – Grafton
SFR Project ID No.	ANR-6140892203-013
Primary Place of Performance	117 Main St, Grafton, VT 05146
National Pollutant Discharge Elimination System (NPDES) Permit # (if applicable)	N/A
Public Water System ID # (if applicable)	N/A
Median Household Income for Service Area	\$66,597.00
Lowest Quintile Income for Service Area	\$15,809.00

2. **Statement of Need:** The Subrecipient has a State-designated Village Center that is economically stagnated due to the lack of community wastewater disposal capacity. There are a few failed systems within the Village including the failure and replacement of the local general store. Given the age of the individual household infrastructure throughout the Village, the potential of additional failures is likely.
3. **Population Served:** The service area includes mixed use development including municipal and civic uses, single family and multifamily residential units, and commercial uses. The Town's entire population is served by the municipal, civic, and commercial uses including the Grafton Village Store, Phelp's Barn Pub, the Post Office, and the Grafton Village Garage.
4. **Scope of Work:** Subrecipient shall ensure the following are completed as deemed necessary by the Village Water Wastewater Technical Project Manager dependent on State Revolving Fund (SRF) Project Steps to be funded with ARPA Grant dollars:
  - a. **Step 1 – Preliminary Engineering and Planning**  
Project shall follow the Clean Water State Revolving Fund (CWSRF) and Drinking Water State Revolving Fund (DWSRF) Preliminary Engineering and Planning process. Deliverables shall follow the Step 1 process outlined on the Water Infrastructure Financing Program webpage: <https://dec.vermont.gov/water-investment/water-financing/srf/srfstep1>
    - i. Ensure the following information and reports are provided to receive Facility Plan Approval from the State. Submit the following:

1. Preliminary Engineering Report (PER)
  - a. CWSRF
  - b. DWSRF
2. Provide signed Environmental Information Document  
<https://anrweb.vt.gov/DEC/IronPIG/DownloadFile.aspx?DID=198411&DVID=0>
3. Submittal for Vermont Division of Historic Preservation Review
4. Provide Project Review Sheet
5. Public Meeting Certification

**b. Step 2 – Final Design**

Project shall follow the CWSRF and DWSRF Final Design process. Deliverables shall follow the Step 2 process outlined on the Water Infrastructure Financing Program webpage:

<https://dec.vermont.gov/water-investment/water-financing/srf/srfstep2>

Subrecipient shall ensure the completion of the following:

- i. Hold project coordination meetings.  
 Submit the following:
  1. Meeting Agenda
  2. Submittals
  3. Minutes
  4. Draft Construction Documents
- ii. Design selected project.  
 Submit the following:
  1. Design Plans: 30%, 60%, 90%, and Final (Stamped & Signed by a Vermont Registered Professional Engineer)
  2. Technical Specifications: 30%, 60%, 90%, and Final (Stamped & Signed by a Vermont Registered Professional Engineer)
- iii. Prepare contract documents for bid  
 Submit the following:
  1. 90% Draft EJCDC Front End Documents
  2. Final documents ready to bid complete with dates for meetings and bids coordinated and accepted by the State Construction Engineer
- iv. Maintain Project Cost Summary (PCS).
  1. Submit PCS for 30%, 60%, 90%, Final
- v. Obtain required State Permitting.
  1. Submit copies of permits, or
  2. Project Review Sheet or a determination that the permit is not required

**c. Step 3 – Construction**

Project shall follow the CW/DW SRF Construction process. Deliverables following the Step 3 process outlined on the Water Infrastructure Financing Program webpage:

<https://dec.vermont.gov/water-investment/water-financing/srf/srfstep2>

Subrecipient shall ensure the completion of the following:

- i. Progress will be tracked with quarterly grant reporting and the monthly invoicing tracked as a percentage of dollars spent.
  1. Submit quarterly reports (Attachment E)
  2. Submit monthly invoices
- ii. Bid project through a public bidding process using Engineers Joint Contract Documents Committee (EJCDC) 2013 or 2018 front end documents, the Vermont Bid Systems, and per Vermont SRF Guidance Document 25.

<https://anrweb.vt.gov/DEC/IronPIG/DownloadFile.aspx?DID=129141&DVID=0>

1. Submit the following:
  - a. Copy of Bid Advertisement
  - b. Right-of-way (ROW) Certificate

- c. Copy of any Addenda
  - d. Recommendation for Award
  - e. Certified Bid Tab
  - f. Updated “Vermont SRF Standard Project Cost Summary Form” with Bid Information
  - g. Notice of Award
  - h. Executed contract agreement with successful bidder
  - i. Notice to Proceed
  - j. Preconstruction Meeting and Meeting Minutes
- iii. Construct the project per the plans and specifications, as submitted and approved under Step 2.
- 1. Place Clean Water Project Sign
  - 2. Submit the following:
    - a. Contractor’s Monthly Pay Requests
    - b. Engineer’s Monthly Pay Requests
    - c. Monthly Progress Meetings and Meeting Minutes
    - d. Updated Monthly PCS Statement
    - e. Any Change Orders
    - f. Any Small Purchases
    - g. Signed Substantial Completion Certificate and Punch List
- iv. Close-out project when construction is completed
- 1. Return Clean Water Project Sign
  - 2. Ensure compliance with any mitigation measures identified in the Environmental Review, permit conditions, and contract requirements
  - 3. Submit Project Closeout Documentation:
    - a. Record Drawings
    - b. Testing Results
    - c. Signed Release of Liens
    - d. Updated (or new if it does not exist) Operations & Maintenance Manual
    - e. Engineer’s or Designer’s Installation Certification
    - f. Water Quality Analysis
      - i. If required by permitting
    - g. Balancing Change Order
    - h. Final Completion Certificate
    - i. Project Receipts Received and Approved
- d. Land and Easement Acquisition**
- i. Ensure acquisition of any necessary land and property easements for project. Submit the following documents:
    - 1. Copy of Executed Purchase and Sales Agreement – if land is being purchased
    - 2. Copy of Executed Public and Private Easement Agreements
    - 3. Easements and Rights of Way Certification Form
- e. Additional Services**
- Additional services shall be obtained to support the completion of Steps 1, 2, and 3. Subrecipient shall ensure the completion of the following:
- i. Obtain project coordinator services
    - 1. Submit the following documents:
      - a. Copy of Executed Project Coordinator Services Agreement
      - b. Copies of Paid Invoices
      - c. Communication and Outreach Plan
      - d. Meeting Minutes
      - e. Outreach Documents and Presentation

- ii. Obtain hydrogeological services
  - 1. Submit the following documents:
    - a. Soil Investigation Reports
    - b. Water Quality Data (if applicable)
      - i. If required by permitting
- iii. Perform bond vote
  - 1. Submit the following documents:
    - a. Bond language
    - b. Bond Counsel Opinion Letter
    - c. Copies of Receipt of Ballot Printing and Mailing
    - d. Bond Warning, Tally, and Clerk's Certification
- iv. Obtain legal assistance
  - 1. Submit Copies of Paid Invoices of Attorney Fees
- v. Perform drinking water well testing, including PFAS compounds
  - 1. Submit the following documents:
    - a. Laboratory Results
    - b. Copies of Paid Invoices of Laboratory Fees

**Table 1.** Milestone and Deliverables schedule:

	#	Milestone	Deliverable	Due Date
Project Implementation: Step 1	1	Preliminary Engineering and Planning	Submit the following: <ul style="list-style-type: none"> <li>A. PER (CWSRF/DWSRF)</li> <li>B. Signed Environmental Information Document with supporting documentation</li> <li>C. Submittal for Vermont Division of Historic Preservation Review</li> <li>D. Project Review Sheet</li> <li>E. Public Meeting Certification</li> </ul>	April 15, 2024
Project Implementation: Step 2	2	Final Design	Submit the following: <ul style="list-style-type: none"> <li>A. Meeting Agenda, Submittals, Minutes &amp; Draft Construction Documents</li> <li>B. Submit all required Design Plans</li> <li>C. Submit Technical Specifications</li> <li>D. Contract Documents</li> <li>E. Project Cost Summaries</li> <li>F. Project Review Sheet or a determination that the permit is not required</li> <li>G. Documents associated with obtaining State permits               <ul style="list-style-type: none"> <li>1. Submit copies of permits</li> <li>2. Project Review Sheet or a determination that the permit is not required</li> <li>3.</li> </ul> </li> </ul>	September 30, 2024
Project Implementation: Step 3	3	Bid Phase	Submit the following:	August 31, 2025

		<ul style="list-style-type: none"> <li>A. Copy of Bid Advertisement</li> <li>B. Right-of-way (ROW) Certificate</li> <li>C. Copy of any Addenda</li> <li>D. Recommendation for Award</li> <li>E. Certified Bid Tab</li> <li>F. Updated “Vermont SRF Standard Project Cost Summary Form” with Bid Information</li> <li>G. Notice of Award</li> <li>H. Executed contract agreement with successful bidder</li> <li>I. Notice to Proceed</li> <li>J. Preconstruction Meeting and Meeting Minutes</li> </ul>	
4	Construction and Project Conclusion	<ul style="list-style-type: none"> <li><b>1. Construction Phase</b> <ul style="list-style-type: none"> <li>A. Placement of Clean Water Project Sign</li> <li>B. Submit the following:                             <ul style="list-style-type: none"> <li>1. Monthly Progress Meetings and Meeting Minutes</li> <li>2. Updated Monthly PCS Statement</li> <li>3. Any Change Orders</li> <li>4. Any Small Purchases</li> <li>5. Signed Substantial Completion Certificate and Punch List</li> </ul> </li> </ul> </li> <li><b>2. Project Conclusion</b> <ul style="list-style-type: none"> <li>A. Submit close-out documents:                             <ul style="list-style-type: none"> <li>1. Record Drawings</li> <li>2. Testing Results</li> <li>3. Signed Release of Liens</li> <li>4. Updated (or new if it does not exist) Operations &amp; Maintenance Manual</li> <li>5. Engineer’s or Designer’s Installation Certification</li> <li>6. Water Quality Analysis – if applicable</li> <li>7. Compliance with all mitigation measures identified in the Environmental Review, permit conditions, and contract requirements</li> <li>8. Balancing Change Order</li> <li>9. Final Completion Certificate</li> <li>10. Project Receipts Received and Approved</li> </ul> </li> <li>B. Return of Clean Water Project Sign</li> </ul> </li> </ul>	August 31, 2026



Project Implementation: Land and Easement Acquisition	5	Land and Easement Acquisition	Submit the following: A. Copy of Executed Purchase and Sales Agreement (Land Acquisition) – if land is being purchased B. Copy of Executed Public and Private Easement Agreements C. Easements and Rights of Way Certification Form	December 31, 2023 (Land Acquisition)
				August 31, 2025 (Easement Acquisition)
Project Implementation: Additional Services	6	Project Coordinator Services	Submit the following: A. Copy of Executed Project Coordinator Services Agreement B. Copies of Paid Invoices C. Communication and Outreach Plan D. Meeting Minutes E. Outreach Documents and Presentation	August 31, 2026
	7	Hydrogeological Services	Submit the following: A. Soil Investigation Reports D. Water Quality Data - if applicable	July 31, 2024
	8	Bond Vote Assistance	Submit the following: A. Bond Language B. Bond Counsel Opinion Letter C. Copies of Receipt of Ballot Printing and Mailing D. Bond Warning, Tally, and Clerk's Certification	August 31, 2024
	9	Legal Assistance	Submit Copies of Paid Invoices of Attorney Fees	August 31, 2026
	10	Drinking Water Well Tests	Submit the following: A. Laboratory Results B. Copies of Paid Invoices of Laboratory Fees	August 31, 2026

Project Implementation: Reporting	11	ARPA Quarterly Progress Tracking	Submit SFR Quarterly Progress Reports (Attachment E)	Due 5 days after the close of each quarter (December 31st, March 31st, June 30th, September 30th) for the life of the agreement
	12	Monthly Invoicing	Submit Monthly Invoicing	Invoices are combined and submitted no more often than once a month
	13	Final ARPA Reporting	Submit SFR Quarterly Progress Report (Section 1 of Attachment E) and Annual Performance Measure Information (Section 3 of Attachment E).	Due with final invoice

\* Due dates for interim deliverables can be extended upon written approval by the State

5. Results: At the completion of the project, Subrecipient installs the project in accordance with the approved plans and specifications, and any approved change orders or addenda. The mandatory quarterly performance will measure the following four performance measures:
  1. Number of final (100%) designs completed.
  2. The number of services on the wastewater system.
  3. Linear feet of sewer pipe installed.
  4. Equivalent residential unit capacity created.
6. Evaluation: The monthly pay requests from the contractor to the Subrecipient will show the itemized costs, which include the number of services installed, and the linear feet of pipe installed.
7. Equity Impact: The grant award has been set to try to bring the future user cost to the lowest possible cost to ensure system affordability. The project will help to create opportunities for economic recovery in the Village through reuse and infill development for small business, jobs, and housing, including multi-family and accessory housing currently unavailable due to the lack of a community wastewater disposal system.
8. Reporting: Subrecipient is required to submit quarterly progress reports for the quarters ending December 31, March 31, June 30, and September 30 on a template provided by the State (Attachment E) by the 5<sup>th</sup> day after the end of each quarter.
9. Other Requirements:
  - a. Subrecipient will own and operate and maintain (in good condition) the Project for its useful life (or cause it to be so operated and maintained).
  - b. Subrecipient will establish, adjust and maintain rates and charges at levels adequate to maintain sufficient revenues to operate and maintain (in good condition) the Project.
  - c. Subrecipient shall seek written pre-approval from the State for minor modifications to construction element locations due to variations in terrain or budget. The scope and site plans in this Agreement portray an ideal situation. Minor adjustments which result in an equivalent end product shall be

allowed following State approval.

- d. When bidding contracts using Federal funds, the Subrecipient must consider applicable Federal procedures for solicitation and award and required contract clauses. The basic authority for the Federal requirements, the Federal Office of Management and Budget's "Uniform Guidance", is 2 CFR Part 200.

<https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200?toc=1>

- e. Subrecipient shall install and return a Clean Water Project Sign during construction. Vermont DEC requires the use of a Clean Water Project sign for all clean water projects:

- i. Find guidelines for Clean Water Project Signs at:

[https://dec.vermont.gov/sites/dec/files/DEC-CWIP\\_CleanWaterProjectSignsGuidance\\_FINAL.pdf](https://dec.vermont.gov/sites/dec/files/DEC-CWIP_CleanWaterProjectSignsGuidance_FINAL.pdf)

- ii. Additional sign information:

<https://dec.vermont.gov/sites/dec/files/wsm/erp/docs/2017-09-05%20Clean-Water-Sign-Plan%20Final.pdf>

## Attachment B

### Payment Provisions

1. This grant is a performance-based grant with cost reimbursable payment terms. Payments made to the Subrecipient by the State are based on the submittal of invoices not more than monthly and the inclusion of a date range in which activities on this grant were undertaken. Subrecipient is required to keep documentation of all expenses reported to the State on the invoice, and is required to submit those documents with each invoice. The State reserves the right to ask for expense documentation upon request. Invoices must be submitted on the Clean Water State Revolving Fund Request for Reimbursement of Expenses Form
2. The State will measure sufficient progress by examining the performance required under the workplan in conjunction with the milestone schedule, the time remaining for performance within the project period and/or the availability of funds necessary to complete the project. The State may terminate the assistance agreement for failure to ensure reasonable completion of the project within the project period.

3. Risk-Based Assessment:

Risk Level: High

Risk Level	Monitoring Requirements
High	- Subrecipient is required to submit quarterly progress reports. Progress report must include: summary of progress made on deliverables within reporting timeframe, milestone status updates, technical/cost/schedule issues encountered, and work planned for next period.

- a. These monitoring requirements are required deliverables even when not listed explicitly in the deliverables table in Attachment A.
  - b. If you are required to have a Single Audit, you are to report to Vermont DEC the audit, findings, Management Response Letter including corrective actions within 9 months after the end of your fiscal year.
4. Final Payment: Final payment will be paid upon receipt and satisfactory review of all deliverables, as described in the scope of work, a final financial report documenting expenditure of 100% of grant funds, and where appropriate, documentation of required match.
5. The Subrecipient shall:

- Maintain a copy of all receipts on file for review upon request by the State,
- Include a copy of all receipts for costs requested for reimbursement.
- Other:

6. Other Provisions

- a. Pre-award costs starting March 3, 2021, are allowable under this agreement as determined by the Grant Manager and as related to the scope of work in Attachment A.
- b. All invoices must be received within 90 days after the end date of this agreement. Any invoices received after 90 days may not be honored.
- c. Subrecipient is conferred blanket approval from the State to execute any subgrant or subcontracts associated with this Agreement and related amendments according to Attachment C, #19. As part of the procurement process, the Subrecipient must verify and document that none of its subcontractors/subgrantees are listed on the federal debarment list located at <https://sam.gov/content/home> or the State debarment list maintained by the Vermont Buildings and General Services (BGS) and located at: <https://bgs.vermont.gov/purchasing-contracting/debarment>. Both the name of the entity and name of the primary point of contact must be checked.

7. Payment requests must be submitted on the Payment Request Form at the following link:  
<https://anrweb.vt.gov/DEC/IronPIG/DownloadFile.aspx?DID=169995&DVID=0>
8. Submit completed forms to: [anr.srfpayments@vermont.gov](mailto:anr.srfpayments@vermont.gov)

**ATTACHMENT C: STANDARD STATE PROVISIONS  
FOR CONTRACTS AND GRANTS  
REVISED DECEMBER 15, 2017**

**1. Definitions:** For purposes of this Attachment, “Party” shall mean the Contractor, Grantee or Subrecipient, with whom the State of Vermont is executing this Agreement and consistent with the form of the Agreement. “Agreement” shall mean the specific contract or grant to which this form is attached.

**2. Entire Agreement:** This Agreement, whether in the form of a contract, State-funded grant, or Federally-funded grant, represents the entire agreement between the parties on the subject matter. All prior agreements, representations, statements, negotiations, and understandings shall have no effect.

**3. Governing Law, Jurisdiction and Venue; No Waiver of Jury Trial:** This Agreement will be governed by the laws of the State of Vermont. Any action or proceeding brought by either the State or the Party in connection with this Agreement shall be brought and enforced in the Superior Court of the State of Vermont, Civil Division, Washington Unit. The Party irrevocably submits to the jurisdiction of this court for any action or proceeding regarding this Agreement. The Party agrees that it must first exhaust any applicable administrative remedies with respect to any cause of action that it may have against the State with regard to its performance under this Agreement. Party agrees that the State shall not be required to submit to binding arbitration or waive its right to a jury trial.

**4. Sovereign Immunity:** The State reserves all immunities, defenses, rights or actions arising out of the State’s sovereign status or under the Eleventh Amendment to the United States Constitution. No waiver of the State’s immunities, defenses, rights or actions shall be implied or otherwise deemed to exist by reason of the State’s entry into this Agreement.

**5. No Employee Benefits For Party:** The Party understands that the State will not provide any individual retirement benefits, group life insurance, group health and dental insurance, vacation or sick leave, workers compensation or other benefits or services available to State employees, nor will the State withhold any state or Federal taxes except as required under applicable tax laws, which shall be determined in advance of execution of the Agreement. The Party understands that all tax returns required by the Internal Revenue Code and the State of Vermont, including but not limited to income, withholding, sales and use, and rooms and meals, must be filed by the Party, and information as to Agreement income will be provided by the State of Vermont to the Internal Revenue Service and the Vermont Department of Taxes.

**6. Independence:** The Party will act in an independent capacity and not as officers or employees of the State.

**7. Defense and Indemnity:** The Party shall defend the State and its officers and employees against all third party claims or suits arising in whole or in part from any act or omission of the Party or of any agent of the Party in connection with the performance of this Agreement. The State shall notify the Party in the event of any such claim or suit, and the Party shall immediately retain counsel and otherwise provide a complete defense against the entire claim or suit. The State retains the right to participate at its own expense in the defense of any claim. The State shall have the right to approve all proposed settlements of such claims or suits.

After a final judgment or settlement, the Party may request recoupment of specific defense costs and may file suit in Washington Superior Court requesting recoupment. The Party shall be entitled to recoup costs only upon a showing that such costs were entirely unrelated to the defense of any claim arising from an act or omission of the Party in connection with the performance of this Agreement.

The Party shall indemnify the State and its officers and employees if the State, its officers or employees become legally obligated to pay any damages or losses arising from any act or omission of the Party or an agent of the Party in connection with the performance of this Agreement.

Notwithstanding any contrary language anywhere, in no event shall the terms of this Agreement or any document furnished by the Party in connection with its performance under this Agreement obligate the State to (1) defend or indemnify the Party or any third party, or (2) otherwise be liable for the expenses or reimbursement, including attorneys’ fees, collection costs or other costs of the Party or any third party.

**8. Insurance:** Before commencing work on this Agreement the Party must provide certificates of insurance to show that the following minimum coverages are in effect. It is the responsibility of the Party to maintain current certificates of insurance on file with the State through the term of this Agreement. No warranty is made that the coverages and limits listed herein are adequate to cover and protect the interests of the Party for the Party's operations. These are solely minimums that have been established to protect the interests of the State.

*Workers Compensation:* With respect to all operations performed, the Party shall carry workers' compensation insurance in accordance with the laws of the State of Vermont. Vermont will accept an out-of-state employer's workers' compensation coverage while operating in Vermont provided that the insurance carrier is licensed to write insurance in Vermont and an amendatory endorsement is added to the policy adding Vermont for coverage purposes. Otherwise, the party shall secure a Vermont workers' compensation policy, if necessary to comply with Vermont law.

*General Liability and Property Damage:* With respect to all operations performed under this Agreement, the Party shall carry general liability insurance having all major divisions of coverage including, but not limited to:

Premises - Operations

Products and Completed Operations

Personal Injury Liability

Contractual Liability

The policy shall be on an occurrence form and limits shall not be less than:

\$1,000,000 Each Occurrence

\$2,000,000 General Aggregate

\$1,000,000 Products/Completed Operations Aggregate

\$1,000,000 Personal & Advertising Injury

*Automotive Liability:* The Party shall carry automotive liability insurance covering all motor vehicles, including hired and non-owned coverage, used in connection with the Agreement. Limits of coverage shall not be less than \$500,000 combined single limit. If performance of this Agreement involves construction, or the transport of persons or hazardous materials, limits of coverage shall not be less than \$1,000,000 combined single limit.

*Additional Insured.* The General Liability and Property Damage coverages required for performance of this Agreement shall include the State of Vermont and its agencies, departments, officers and employees as Additional Insureds. If performance of this Agreement involves construction, or the transport of persons or hazardous materials, then the required Automotive Liability coverage shall include the State of Vermont and its agencies, departments, officers and employees as Additional Insureds. Coverage shall be primary and non-contributory with any other insurance and self-insurance.

*Notice of Cancellation or Change.* There shall be no cancellation, change, potential exhaustion of aggregate limits or non-renewal of insurance coverage(s) without thirty (30) days written prior written notice to the State.

**9. Reliance by the State on Representations:** All payments by the State under this Agreement will be made in reliance upon the accuracy of all representations made by the Party in accordance with this Agreement, including but not limited to bills, invoices, progress reports and other proofs of work.

**10. False Claims Act:** The Party acknowledges that it is subject to the Vermont False Claims Act as set forth in 32 V.S.A. § 630 *et seq.* If the Party violates the Vermont False Claims Act it shall be liable to the State for civil penalties, treble damages and the costs of the investigation and prosecution of such violation, including attorney's fees, except as the same may be reduced by a court of competent jurisdiction. The Party's liability to the State under the False Claims Act shall not be limited notwithstanding any agreement of the State to otherwise limit Party's liability.

**11. Whistleblower Protections:** The Party shall not discriminate or retaliate against one of its employees or agents for disclosing information concerning a violation of law, fraud, waste, abuse of authority or acts threatening health or safety, including but not limited to allegations concerning the False Claims Act. Further, the Party shall not require such employees or agents to forego monetary awards as a result of such disclosures, nor should they be

required to report misconduct to the Party or its agents prior to reporting to any governmental entity and/or the public.

**12. Location of State Data:** No State data received, obtained, or generated by the Party in connection with performance under this Agreement shall be processed, transmitted, stored, or transferred by any means outside the continental United States, except with the express written permission of the State.

**13. Records Available for Audit:** The Party shall maintain all records pertaining to performance under this agreement. "Records" means any written or recorded information, regardless of physical form or characteristics, which is produced or acquired by the Party in the performance of this agreement. Records produced or acquired in a machine readable electronic format shall be maintained in that format. The records described shall be made available at reasonable times during the period of the Agreement and for three years thereafter or for any period required by law for inspection by any authorized representatives of the State or Federal Government. If any litigation, claim, or audit is started before the expiration of the three-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved.

**14. Fair Employment Practices and Americans with Disabilities Act:** Party agrees to comply with the requirement of 21 V.S.A. Chapter 5, Subchapter 6, relating to fair employment practices, to the full extent applicable. Party shall also ensure, to the full extent required by the Americans with Disabilities Act of 1990, as amended, that qualified individuals with disabilities receive equitable access to the services, programs, and activities provided by the Party under this Agreement.

**15. Set Off:** The State may set off any sums which the Party owes the State against any sums due the Party under this Agreement; provided, however, that any set off of amounts due the State of Vermont as taxes shall be in accordance with the procedures more specifically provided hereinafter.

**16. Taxes Due to the State:**

- A. Party understands and acknowledges responsibility, if applicable, for compliance with State tax laws, including income tax withholding for employees performing services within the State, payment of use tax on property used within the State, corporate and/or personal income tax on income earned within the State.
- B. Party certifies under the pains and penalties of perjury that, as of the date this Agreement is signed, the Party is in good standing with respect to, or in full compliance with, a plan to pay any and all taxes due the State of Vermont.
- C. Party understands that final payment under this Agreement may be withheld if the Commissioner of Taxes determines that the Party is not in good standing with respect to or in full compliance with a plan to pay any and all taxes due to the State of Vermont.
- D. Party also understands the State may set off taxes (and related penalties, interest and fees) due to the State of Vermont, but only if the Party has failed to make an appeal within the time allowed by law, or an appeal has been taken and finally determined and the Party has no further legal recourse to contest the amounts due.

**17. Taxation of Purchases:** All State purchases must be invoiced tax free. An exemption certificate will be furnished upon request with respect to otherwise taxable items.

**18. Child Support:** (Only applicable if the Party is a natural person, not a corporation or partnership.) Party states that, as of the date this Agreement is signed, he/she:

- A. is not under any obligation to pay child support; or
- B. is under such an obligation and is in good standing with respect to that obligation; or
- C. has agreed to a payment plan with the Vermont Office of Child Support Services and is in full compliance with that plan.

Party makes this statement with regard to support owed to any and all children residing in Vermont. In addition, if the Party is a resident of Vermont, Party makes this statement with regard to support owed to any and all children residing in any other state or territory of the United States.



**19. Sub-Agreements:** Party shall not assign, subcontract or subgrant the performance of this Agreement or any portion thereof to any other Party without the prior written approval of the State. Party shall be responsible and liable to the State for all acts or omissions of subcontractors and any other person performing work under this Agreement pursuant to an agreement with Party or any subcontractor.

In the case this Agreement is a contract with a total cost in excess of \$250,000, the Party shall provide to the State a list of all proposed subcontractors and subcontractors' subcontractors, together with the identity of those subcontractors' workers compensation insurance providers, and additional required or requested information, as applicable, in accordance with Section 32 of The Vermont Recovery and Reinvestment Act of 2009 (Act No. 54).

Party shall include the following provisions of this Attachment C in all subcontracts for work performed solely for the State of Vermont and subcontracts for work performed in the State of Vermont: Section 10 ("False Claims Act"); Section 11 ("Whistleblower Protections"); Section 12 ("Location of State Data"); Section 14 ("Fair Employment Practices and Americans with Disabilities Act"); Section 16 ("Taxes Due the State"); Section 18 ("Child Support"); Section 20 ("No Gifts or Gratuities"); Section 22 ("Certification Regarding Debarment"); Section 30 ("State Facilities"); and Section 32.A ("Certification Regarding Use of State Funds").

**20. No Gifts or Gratuities:** Party shall not give title or possession of anything of substantial value (including property, currency, travel and/or education programs) to any officer or employee of the State during the term of this Agreement.

**21. Copies:** Party shall use reasonable best efforts to ensure that all written reports prepared under this Agreement are printed using both sides of the paper.

**22. Certification Regarding Debarment:** Party certifies under pains and penalties of perjury that, as of the date that this Agreement is signed, neither Party nor Party's principals (officers, directors, owners, or partners) are presently debarred, suspended, proposed for debarment, declared ineligible or excluded from participation in Federal programs, or programs supported in whole or in part by Federal funds.

Party further certifies under pains and penalties of perjury that, as of the date that this Agreement is signed, Party is not presently debarred, suspended, nor named on the State's debarment list at: <http://bgs.vermont.gov/purchasing/debarment>

**23. Conflict of Interest:** Party shall fully disclose, in writing, any conflicts of interest or potential conflicts of interest.

**24. Confidentiality:** Party acknowledges and agrees that this Agreement and any and all information obtained by the State from the Party in connection with this Agreement are subject to the State of Vermont Access to Public Records Act, 1 V.S.A. § 315 et seq.

**25. Force Majeure:** Neither the State nor the Party shall be liable to the other for any failure or delay of performance of any obligations under this Agreement to the extent such failure or delay shall have been wholly or principally caused by acts or events beyond its reasonable control rendering performance illegal or impossible (excluding strikes or lock-outs) ("Force Majeure"). Where Force Majeure is asserted, the nonperforming party must prove that it made all reasonable efforts to remove, eliminate or minimize such cause of delay or damages, diligently pursued performance of its obligations under this Agreement, substantially fulfilled all non-excused obligations, and timely notified the other party of the likelihood or actual occurrence of an event described in this paragraph.

**26. Marketing:** Party shall not refer to the State in any publicity materials, information pamphlets, press releases, research reports, advertising, sales promotions, trade shows, or marketing materials or similar communications to third parties except with the prior written consent of the State.

**27. Termination:**

- A. Non-Appropriation:** If this Agreement extends into more than one fiscal year of the State (July 1 to June 30), and if appropriations are insufficient to support this Agreement, the State may cancel at the end of the fiscal year, or otherwise

upon the expiration of existing appropriation authority. In the case that this Agreement is a Grant that is funded in whole or in part by Federal funds, and in the event Federal funds become unavailable or reduced, the State may suspend or cancel this Grant immediately, and the State shall have no obligation to pay Subrecipient from State revenues.

- B. Termination for Cause:** Either party may terminate this Agreement if a party materially breaches its obligations under this Agreement, and such breach is not cured within thirty (30) days after delivery of the non-breaching party's notice or such longer time as the non-breaching party may specify in the notice.
- C. Termination Assistance:** Upon nearing the end of the final term or termination of this Agreement, without respect to cause, the Party shall take all reasonable and prudent measures to facilitate any transition required by the State. All State property, tangible and intangible, shall be returned to the State upon demand at no additional cost to the State in a format acceptable to the State.

**28. Continuity of Performance:** In the event of a dispute between the Party and the State, each party will continue to perform its obligations under this Agreement during the resolution of the dispute until this Agreement is terminated in accordance with its terms.

**29. No Implied Waiver of Remedies:** Either party's delay or failure to exercise any right, power or remedy under this Agreement shall not impair any such right, power or remedy, or be construed as a waiver of any such right, power or remedy. All waivers must be in writing.

**30. State Facilities:** If the State makes space available to the Party in any State facility during the term of this Agreement for purposes of the Party's performance under this Agreement, the Party shall only use the space in accordance with all policies and procedures governing access to and use of State facilities which shall be made available upon request. State facilities will be made available to Party on an "AS IS, WHERE IS" basis, with no warranties whatsoever.

**31. Requirements Pertaining Only to Federal Grants and Subrecipient Agreements:** If this Agreement is a grant that is funded in whole or in part by Federal funds:

- A. Requirement to Have a Single Audit:** The Subrecipient will complete the Subrecipient Annual Report annually within 45 days after its fiscal year end, informing the State of Vermont whether or not a Single Audit is required for the prior fiscal year. If a Single Audit is required, the Subrecipient will submit a copy of the audit report to the granting Party within 9 months. If a single audit is not required, only the Subrecipient Annual Report is required.

For fiscal years ending before December 25, 2015, a Single Audit is required if the subrecipient expends \$500,000 or more in Federal assistance during its fiscal year and must be conducted in accordance with OMB Circular A-133. For fiscal years ending on or after December 25, 2015, a Single Audit is required if the subrecipient expends \$750,000 or more in Federal assistance during its fiscal year and must be conducted in accordance with 2 CFR Chapter I, Chapter II, Part 200, Subpart F. The Subrecipient Annual Report is required to be submitted within 45 days, whether or not a Single Audit is required.

- B. Internal Controls:** In accordance with 2 CFR Part II, §200.303, the Party must establish and maintain effective internal control over the Federal award to provide reasonable assurance that the Party is managing the Federal award in compliance with Federal statutes, regulations, and the terms and conditions of the award. These internal controls should be in compliance with guidance in "Standards for Internal Control in the Federal Government" issued by the Comptroller General of the United States and the "Internal Control Integrated Framework", issued by the Committee of Sponsoring Organizations of the Treadway Commission (COSO).
- C. Mandatory Disclosures:** In accordance with 2 CFR Part II, §200.113, Party must disclose, in a timely manner, in writing to the State, all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Failure to make required disclosures may result in the imposition of sanctions which may include disallowance of costs incurred, withholding of payments, termination of the Agreement, suspension/debarment, etc.

**32. Requirements Pertaining Only to State-Funded Grants:**

- A. Certification Regarding Use of State Funds:** If Party is an employer and this Agreement is a State-funded grant in excess of \$1,001, Party certifies that none of these State funds will be used to interfere with or restrain the exercise of Party's employee's rights with respect to unionization.
- B. Good Standing Certification (Act 154 of 2016):** If this Agreement is a State-funded grant, Party hereby represents:
  - (i) that it has signed and provided to the State the form prescribed by the Secretary of Administration for purposes of certifying that it is in good standing (as provided in Section 13(a)(2) of Act 154) with the Agency of Natural Resources and the Agency of Agriculture, Food and Markets, or otherwise explaining the circumstances surrounding the inability to so certify, and
  - (ii) that it will comply with the requirements stated therein.

(End of Standard Provisions)

**ATTACHMENT D – STATE FISCAL RECOVERY FUND PROGRAM ASSURANCES**

An authorized signatory of Subrecipient must attest to the following by checking the box next to the statement and signing this document.

1. I have the authority to request payment from the State of Vermont. I am requesting payment for costs incurred in connection with section 602 of the Social Security Act, as amended by section 9901 of the American Rescue Plan Act, Public Law No. 117-2 (March 11, 2021) (“section 602”).
2. As required by federal law, the SFR will only be used for approved economic support or costs incurred during the period that begins on March 3, 2021 and December 31, 2024, in response to the COVID-19 public health emergency and its negative economic impacts.
3. Subrecipient will report on incurred expenses and/or losses, in a form and at a frequency prescribed by the State of Vermont and will cooperate with the State of Vermont in creating and retaining appropriate documentation to demonstrate that the proposed uses meet the requirements of section 602.
4. To the extent that actual expenditures or demonstrated need is less than the total award amount, Subrecipient agrees to return the balance of unspent funds to the State of Vermont. If the United States Department of the Treasury recoups funds from the State of Vermont based on a determination that these award funds were used in a manner not in compliance with section 602, Subrecipient agrees that the State of Vermont may recover funds from Subrecipient by reducing future funding in State budgets.
5. Subrecipient must repay the award or portion of the award to the Vermont Agency of Natural Resources, Department of Environmental Conservation if: any funds received were issued in error; are based on incorrect representations made to the Vermont Agency of Natural Resources, Department of Environmental Conservation; or any costs forming the basis of an award under this program are covered by other federal funds or federally forgiven loans received by Subrecipient. I agree that the final determination of whether there has been a duplication of benefits and the amount to be repaid, if any, will be made by the Vermont Agency of Natural Resources, Department of Environmental Conservation.
6. Subrecipient has applied for FEMA-Public Assistance funding first for all FEMA-eligible expenses before applying to this grant. Subrecipient will only use this grant to cover expenses that are not eligible for FEMA-Public Assistance reimbursement.
7. Subrecipient shall maintain and make available to the State of Vermont and/or United States Department of the Treasury, upon request, all documents and financial records sufficient to establish compliance with section 602. Records and supporting documentation must be maintained for a period of five years after all funds have been expended or returned to Treasury, whichever is later. Records to support compliance with subsection 602 may include, but are not limited to, copies of the following:
- a. General ledger and subsidiary ledgers used to account for (a) the receipt of SFR payments and (b) the disbursements from such payments to meet eligible expenses related to the public health emergency due to COVID-19;
  - b. Budget records;
  - c. Payroll, time records, human resource records to support costs incurred for payroll expenses related to addressing the public health emergency due to COVID-19;
  - d. Receipts of purchases made related to addressing the public health emergency due to COVID-19;

- e. Contracts and subcontracts entered into using SFR payments and all documents related to such contracts;
- f. Grant agreements and grant subaward agreements entered into using SFR payments and all documents related to such awards;
- g. All documentation of reports, audits, and other monitoring of contractors, including subcontractors, and grant recipient and subrecipients;
- h. All documentation supporting the performance outcomes of contracts, subcontracts, grant awards, and grant recipient subawards;
- i. All internal and external email/electronic communications related to use of SFR payments; and
- j. All investigative files and inquiry reports involving SFR payments.

8. To the best of my knowledge, neither Subrecipient nor Subrecipient 's principals (officers, directors, owners, or partners) are presently debarred, suspended, proposed for debarment, declared ineligible or excluded from participation in Federal programs, or programs supported in whole or in part by Federal funds.

9. Subrecipient will complete the Subrecipient Annual Report annually within 45 days after its fiscal year end, informing the State of Vermont whether a Single Audit is required for the prior fiscal year. If a Single Audit is required, Subrecipient will submit a copy of the audit report to the State of Vermont within 9 months. For fiscal years ending on or after December 25, 2015, a Single Audit is required if the subrecipient expends \$750,000 or more in Federal assistance during its fiscal year and must be conducted in accordance with 2 CFR Chapter I, Chapter II, Part 200, Subpart F.

10. Subrecipient will submit reports as required by the State of Vermont, Agency of Administration, and/or Vermont Agency of Natural Resources, Department of Environmental Conservation.

11. The Vermont Agency of Natural Resources, Department of Environmental Conservation may share the information on this federal award with other Vermont state agencies, and other Vermont agencies can share information with Vermont Agency of Natural Resources, Department of Environmental Conservation for the purpose of verifying Subrecipient's eligibility for this or another award or stimulus payment related to the COVID-19 pandemic.

12. Subrecipient authorizes the State of Vermont to share data relevant to this award with the U.S. Department of Treasury, including but not limited to previously submitted W-9 data that is related to this award.

13. All of Subrecipient's tax returns are completed and filed through the date of application filing.

14. Subrecipient complies with local, state and federal labor laws.

15. Subrecipient is in good standing with the Vermont Secretary of State.

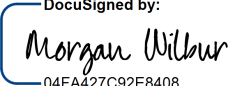
16. I attest, under penalty of perjury, that all information provided on this form is true and accurate. I understand that the State of Vermont will rely on this certification as a material representation in making this federal award. Further, I understand that intentional misrepresentation of information is fraud and may subject me or my organization to disqualification from receiving further benefits, administrative penalties, and criminal prosecution.

17. Subrecipient understands that, if Federal guidance on the regulations of the State Fiscal Recovery Fund change, it may change the terms of this award.

18. Subrecipient certifies that for contracts awarded over \$200,000 for maintenance, construction, or improvement project shall provide that all construction employees working on the project shall be paid not less than mean prevailing wage published periodically by the Vermont Department of Labor in its occupational employment and wage survey plus an additional fringe benefit of 42 and one-half percent of wage, as calculated by the current Vermont prevailing wage survey.

19. Subrecipient certifies that that they will require any subcontractors or subgrantees to also certify that for contracts awarded over \$200,000 for maintenance, construction, or improvement project shall provide that all construction employees working on the project shall be paid not less than mean prevailing wage published periodically by the Vermont Department of Labor in its occupational employment and wage survey plus an additional fringe benefit of 42 and one-half percent of wage, as calculated by the current Vermont prevailing wage survey.

Printed Name: Morgan Wilbur

Authorized Signature:  DocuSigned by:  
Morgan Wilbur  
04FA427C92E8408...

Title: Town Administrator

Organization Name: Town of Grafton

Date: 11/21/2023

## ATTACHMENT E – STATE FISCAL RECOVERY (SFR) QUARTERLY PROJECT REPORT TEMPLATE

Name: Town of Grafton

Contact Completing Report: [Click or tap here to enter text.](#)

Report Date: [Click or tap here to enter text.](#)

Grant Project: VWWW - Grafton

Grant ID: 06140-2023-ARPA-VWWW-01

Quarter End Date: [Click or tap here to enter text.](#)

<b>Quarterly Information</b> Collected Every Quarter	
Projected/Actual Construction Start Date (month/year):	<a href="#">Click or tap here to enter text.</a>
Projected/Actual Initiation of Operations Date (month/year):	<a href="#">Click or tap here to enter text.</a>
Project Status:	<a href="#">Click or tap here to enter text.</a>

<b>Risk-Based Information</b> <b>High Risk:</b> Collected Every Quarter	
Summary of progress made on deliverables within reporting timeframe.	<a href="#">Click or tap here to enter text.</a>
Milestone status updates	<a href="#">Click or tap here to enter text.</a>
Technical/cost/schedule issues encountered	<a href="#">Click or tap here to enter text.</a>
Work planned for next period	<a href="#">Click or tap here to enter text.</a>

<b>Annual Performance Measure Information</b> Collected Quarter ending on March 31 <sup>st</sup>	
Number of final (100%) designs completed	
The number of services on the wastewater system	
Linear feet of sewer pipe installed	

**ATTACHMENT F – OTHER PROVISIONS**

- A. For contracts awarded over \$200,000 for maintenance, construction, or improvement project shall provide that all construction employees working on the project shall be paid not less than mean prevailing wage published periodically by the Vermont Department of Labor in its occupational employment and wage survey plus an additional fringe benefit of 42 and one-half percent of wage, as calculated by the current Vermont prevailing wage survey.
- B. For projects over \$10 million, the following is required to be reported to the State with Quarterly Project Reports, as applicable:
1. A recipient may provide a certification that, for the relevant project, all laborers and mechanics employed by contractors and subcontractors in the performance of such project are paid wages at rates not less than those prevailing, as determined by the U.S. Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code (commonly known as the “Davis Bacon Act”), for the corresponding classes of laborers and mechanics employed on projects of a character similar to the contract work in the civil subdivision of the State (or the District of Columbia) in which the work is to be performed, or by the appropriate State entity pursuant to a corollary State prevailing-wage-in-construction law (commonly known as “baby Davis Bacon Acts”). If such certification is not provided, a recipient must provide a project employment and local impact report detailing:
    - a. The number of employees of contractors and sub-contractors working on the project;
    - b. The number of employees on the project hired directly and hired through a third party;
    - c. The wages and benefits of workers on the project by classification; and
    - d. Whether those wages are at rates less than those prevailing.<sup>1</sup> Recipients must maintain sufficient records to substantiate this information upon request.
  2. A recipient may provide a certification that a project includes a project labor agreement, meaning a pre-hire collective bargaining agreement consistent with section 8(f) of the National Labor Relations Act (29 U.S.C. 158(f)). If the recipient does not provide such certification, the recipient must provide a project workforce continuity plan, detailing:
    - a. How the recipient will ensure the project has ready access to a sufficient supply of appropriately skilled and unskilled labor to ensure high-quality construction throughout the life of the project;
    - b. How the recipient will minimize risks of labor disputes and disruptions that would jeopardize timeliness and cost-effectiveness of the project; and
    - c. How the recipient will provide a safe and healthy workplace that avoids delays and costs associated with workplace illnesses, injuries, and fatalities;
    - d. Whether workers on the project will receive wages and benefits that will secure an appropriately skilled workforce in the context of the local or regional labor market; and
    - e. Whether the project has completed a project labor agreement.
  3. Whether the project prioritizes local hires.
  4. Whether the project has a Community Benefit Agreement, with a description of any such agreement

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<sup>1</sup> As determined by the U.S. Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code (commonly known as the “Davis-Bacon Act”), for the corresponding classes of laborers and mechanics employed on projects of a character similar to the contract work in the civil subdivision of the State (or the District of Columbia) in which the work is to be performed.



5. State and Local Fiscal Recovery Funds (SLFRF) funds may be used to acquire real and personal property, supplies, and equipment. Except for property, supplies, or equipment acquired using revenue loss funds, recipients must follow the applicable provisions of the Uniform Guidance regarding property standards (2 CFR 200.310-316). During the period of performance, a recipient may use property, supplies, or equipment purchased or improved with SLFRF funds for a purpose other than the purpose for which it was purchased or improved if such other purpose is also consistent with the eligible use requirements. If a recipient changes the use of an asset to an ineligible use or sells the asset prior to the end of the period of performance, then the recipient must follow the disposition procedures in the Uniform Guidance. See 2 CFR 200.311, 200.313, 200.314, and 200.315. After the period of performance, the property, supplies, or equipment must be used consistent with the purpose for which it was purchased or improved or for any other eligible purpose in the same category as the purpose reported to Treasury as of the final reporting period. Recipients are responsible for being able to substantiate their determinations on whether the use of an asset is authorized and maintain a record of that determination in accordance with the requirements set forth in the financial assistance agreement accepted in connection with their award. Recipients are not required to seek or obtain the approval of Treasury prior to changing the use within the parameters of the authorized purpose.

**ATTACHMENT G - TERMS AND CONDITIONS FOR FEDERAL SUBRECIPIENTS -  
U.S. DEPARTMENT OF THE TREASURY CORONAVIRUS STATE FISCAL RECOVERY FUND AWARD**

1. Use of Funds.
  - a. Participant understands and agrees that the funds disbursed under this award may only be used in compliance with section 602 of the Social Security Act (the Act), Treasury's regulations implementing that section, and guidance issued by Treasury regarding the foregoing.
  - b. Participant will determine prior to engaging in any project using this assistance that it has the institutional, managerial, and financial capability to ensure proper planning, management, and completion of such project.
2. Reporting. Participant agrees to comply with any reporting obligations established by Treasury as they relate to this award.
3. Maintenance of and Access to Records
  - a. Participant shall maintain records and financial documents sufficient to evidence compliance with section 602 of the Act, Treasury's regulations implementing that section, and guidance issued by Treasury regarding the foregoing.
  - b. The Treasury Office of Inspector General and the Government Accountability Office, or their authorized representatives, shall have the right of access to records (electronic and otherwise) of Participant in order to conduct audits or other investigations.
  - c. Records shall be maintained by Participant for a period of five (5) years after all funds have been expended or returned to Treasury, whichever is later.
4. Conflicts of Interest. Participant understands and agrees it must maintain a conflict of interest policy consistent with 2 C.F.R. § 200.318(c) and that such conflict of interest policy is applicable to each activity funded under this award. Participants must disclose in writing to Treasury or the pass-through entity, as appropriate, any potential conflict of interest affecting the awarded funds in accordance with 2 C.F.R. § 200.112.
5. Compliance with Applicable Law and Regulations
  - a. Participant agrees to comply with the requirements of section 602 of the Act, regulations adopted by Treasury pursuant to section 602 of the Act, and guidance issued by Treasury regarding the foregoing. Participant also agrees to comply with all other applicable federal statutes, regulations, and executive orders, and Participant shall provide for such compliance by other parties in any agreements it enters into with other parties relating to this award.
  - b. Federal regulations applicable to this award include, without limitation, the following:
    - i. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 C.F.R. Part 200, other than such provisions as Treasury may determine are inapplicable to this Award and subject to such exceptions as may be otherwise provided by Treasury. Subpart F – Audit Requirements of the Uniform Guidance, implementing the Single Audit Act, shall apply to this award.
    - ii. Universal Identifier and System for Award Management (SAM), 2 C.F.R. Part 25, pursuant to which the award term set forth in Appendix A to 2 C.F.R. Part 25 is hereby incorporated by reference.
    - iii. Reporting Subaward and Executive Compensation Information, 2 C.F.R. Part 170 pursuant to which the award term set forth in Appendix A to 2 C.F.R. Part 170 is hereby incorporated by reference.
    - iv. OMB Guidelines to Agencies on Government wide Debarment and Suspension (Non procurement), 2 C.F.R. Part 180, including the requirement to include a term or condition in all

lower tier covered transactions (contracts and subcontracts described in 2 C.F.R. Part 180, subpart B) that the award is subject to 2 C.F.R. Part 180 and Treasury's implementing regulation at 31 C.F.R. Part 19.

- v. Participant Integrity and Performance Matters, pursuant to which the award term set forth in 2 C.F.R. Part 200, Appendix XII to Part 200 is hereby incorporated by reference.
  - vi. Government wide Requirements for Drug-Free Workplace, 31 C.F.R. Part 20.
  - vii. New Restrictions on Lobbying, 31 C.F.R. Part 21.
  - viii. Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. §§ 4601-4655) and implementing regulations.
  - ix. Generally applicable federal environmental laws and regulations.
- c. Statutes and regulations prohibiting discrimination applicable to this award include, without limitation, the following:
- i. Title VI of the Civil Rights Act of 1964 (42 U.S.C. §§ 2000d et seq.) and Treasury's implementing regulations at 31 C.F.R. Part 22, which prohibit discrimination on the basis of race, color, or national origin under programs or activities receiving federal financial assistance;
  - ii. The Fair Housing Act, Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 et seq.), which prohibits discrimination in housing on the basis of race, color, religion, national origin, sex, familial status, or disability;
  - iii. Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of disability under any program or activity receiving federal financial assistance;
  - iv. The Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101 et seq.), and Treasury's implementing regulations at 31 C.F.R. Part 23, which prohibit discrimination on the basis of age in programs or activities receiving federal financial assistance; and
  - v. Title II of the Americans with Disabilities Act of 1990, as amended (42 U.S.C. §§ 12101 et seq.), which prohibits discrimination on the basis of disability under programs, activities, and services provided or made available by state and local governments or instrumentalities or agencies thereto.
6. Remedial Actions. In the event of Participant's noncompliance with section 602 of the Act, other applicable laws, Treasury's implementing regulations, guidance, or any reporting or other program requirements, Treasury may impose additional conditions on the receipt of a subsequent tranche of future award funds, if any, or take other available remedies as set forth in 2 C.F.R. § 200.339. In the case of a violation of section 602 of the Act regarding the use of funds, previous payments shall be subject to recoupment as provided in section 602 of the Act.
7. Hatch Act. Participant agrees to comply, as applicable, with requirements of the Hatch Act (U.S.C. §§ 1501-1508 and 7324-7328), which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by this federal assistance.
8. False Statements. Participant understands that making false statements or claims in connection with this award is a violation of federal law and may result in criminal, civil, or administrative sanctions, including fines, imprisonment, civil damages and penalties, debarment from participating in federal awards or contracts, and/or any other remedy available by law.

9. Publications. Any publications produced with funds from this award must display the following language: “This project is being supported, in whole or in part, by federal award number SLFRP4407 awarded to State of Vermont by the U.S. Department of the Treasury.”
10. Debts Owed the Federal Government.
- a. Any funds paid to Participant (1) in excess of the amount to which Participant is finally determined to be authorized to retain under the terms of this award; (2) that are determined by the Treasury Office of Inspector General to have been misused; or (3) that are determined by Treasury to be subject to a repayment obligation pursuant to section 602 of the Act and have not been repaid by Participant shall constitute a debt to the federal government.
  - b. Any debts determined to be owed the federal government must be paid promptly by Participant. A debt is delinquent if it has not been paid by the date specified in Treasury’s initial written demand for payment, unless other satisfactory arrangements have been made or if the Participant knowingly or improperly retains funds that are a debt as defined in paragraph 14(a). Treasury will take any actions available to it to collect such a debt.
11. Disclaimer
- a. The United States expressly disclaims any and all responsibility or liability to Participant or third persons for the actions of Participant or third persons resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this award or any other losses resulting in any way from the performance of this award or any contract, or subcontract under this award.
  - b. The acceptance of this award by Participant does not in any way establish an agency relationship between the IFA, United States and Participant.
12. Protections for Whistleblowers.
- a. In accordance with 41 U.S.C. § 4712, Participant may not discharge, demote, or otherwise discriminate against an employee in reprisal for disclosing to any of the list of persons or entities provided below, information that the employee reasonably believes is evidence of gross mismanagement of a federal contract or grant, a gross waste of federal funds, an abuse of authority relating to a federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal contract (including the competition for or negotiation of a contract) or grant.
  - b. The list of persons and entities referenced in the paragraph above includes the following:
    - i. A member of Congress or a representative of a committee of Congress;
    - ii. An Inspector General;
    - iii. The Government Accountability Office;
    - iv. A Treasury employee responsible for contract or grant oversight or management;
    - v. An authorized official of the Department of Justice or other law enforcement agency;
    - vi. A court or grand jury; or
    - vii. A management official or other employee of Participant, contractor, or subcontractor who has the responsibility to investigate, discover, or address misconduct.
  - c. Participant shall inform its employees in writing of the rights and remedies provided under this section, in the predominant native language of the workforce.
13. Increasing Seat Belt Use in the United States. Pursuant to Executive Order 13043, 62 FR 19217 (Apr. 18, 1997), Participant should encourage its contractors to adopt and enforce on-the-job seat belt policies and programs for their employees when operating company-owned, rented or personally owned vehicles.

14. Reducing Text Messaging While Driving. Pursuant to Executive Order 13513, 74 FR 51225 (Oct. 6, 2009), Participant should encourage its employees and contractors to adopt and enforce policies that ban text messaging while driving, and Participant should establish workplace safety policies to decrease accidents caused by distracted drivers.